

# Periodic Schur functions and slit discs<sup>☆</sup>

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## Abstract

A simply connected domain  $\mathbb{G}$  is called a slit disc if  $\mathbb{G} = \mathbb{D}$  minus a finite number of closed radial slits not reaching the origin. A slit disc is called rational (rationally placed) if the lengths of all its circular arcs between neighboring slits (the arguments of the slits) are rational multiples of  $2\pi$ . The conformal mapping  $\phi$  of  $\mathbb{D}$  onto  $\mathbb{G}$ ,  $\phi(0) = 0$ ,  $\phi'(0) > 0$ , extends to a continuous function on  $\mathbb{T}$  mapping it onto  $\partial\mathbb{G}$ . A finite union  $E$  of closed non-intersecting arcs  $e_k$  on  $\mathbb{T}$  is called rational if  $\nu_E(e_k) \in \mathbb{Q}$  for every  $k$ ,  $\nu_E(e_k)$  being the harmonic measures of  $e_k$  at  $\infty$  for the domain  $\mathbb{C} \setminus E$ . A compact  $E$  is rational if and only if there is a rational slit disc  $\mathbb{G}$  such that  $E = \phi^{-1}(\mathbb{T})$ . A compact  $E$  essentially supports a measure with periodic Verblunsky parameters if and only if  $E = \phi^{-1}(\mathbb{T})$  for a rationally placed  $\mathbb{G}$ . For any tuple  $(\alpha_1, \dots, \alpha_{g+1})$  of positive numbers with  $\sum_k \alpha_k = 1$  there is a finite family  $\{e_k\}_{k=1}^{g+1}$  of closed non-intersecting arcs  $e_k$  on  $\mathbb{T}$  such that  $\nu_E(e_k) = \alpha_k$ . For any set  $E = \bigcup_{k=1}^{g+1} e_k \subset \mathbb{T}$  and any  $\epsilon > 0$  there is a rationally placed compact  $E^* = \bigcup_{k=1}^{g+1} e_k^*$  such that the Lebesgue measure  $|E \Delta E^*|$  of the symmetric difference  $E \Delta E^*$  is smaller than  $\epsilon$ .

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## 1. Slit discs and essential supports of periodic measures

This paper exploits a new relationship between essential supports of measures with periodic Verblunsky parameters and the so-called slit discs. It was motivated by a similar phenomenon in the theory of Hill's operators first found by Marčenko and Ostrovskii in [11]. The discrete version of Marchenko–Ostrovskii theory in the context of orthogonal polynomials on the real line appeared in the paper of Geronimo and Van Assche [5], where slit discs quite similar to those constructed in the present paper were introduced.

A simply connected domain  $\mathbb{G}$  is called a *slit disc* if it is obtained from the unit disc  $\mathbb{D} = \{z : |z| < 1\}$  by making a finite number  $g + 1$  of radial cuts  $l_k = [r_k \lambda_k, \lambda_k]$ ,  $0 < r_k < 1$ ,  $\lambda_k$  being the points on the unit circle  $\mathbb{T}$  enumerated counterclockwise with the understanding that  $\lambda_{g+2} = \lambda_1$ . The circular arc  $e_k = [\lambda_k, \lambda_{k+1}]$  on the boundary  $\partial\mathbb{G}$  is placed between two slits  $l_k$  and  $l_{k+1}$ . In Fig. 1  $\alpha = e_1$ ,  $\beta = e_2$ ,  $\gamma = e_3$ ,  $a = l_1$ ,  $b = l_2$ ,  $c = l_3$ . Notice that any slit  $l_k$  has two sides in  $\mathbb{G}$ . Any slit disc  $\mathbb{G}$  is described by  $2g + 2$  parameters, i.e. by  $g + 1$  arguments  $\lambda_k$  of the slit disc and by  $g + 1$  lengths  $r_k$  of the slits.

By the Riemann mapping theorem [4, Ch IX, Section 1 Theorem 1.2], there is a unique analytic function  $\phi$ ,  $\phi(0) = 0$ ,  $\phi'(0) > 0$ , called the standard conformal mapping, which maps  $\mathbb{D}$  one-to-one onto  $\mathbb{G}$ . Since  $\partial\mathbb{G}$  is made of a finite number of smooth curves, the analytic function  $\phi$  extends to a continuous mapping of the closed disc onto the closure  $\text{clos}(\mathbb{G})$  of  $\mathbb{G}$  by the Schwarz reflection principle; see [4, Ch V, Section 4]. In this particular case there is a formula for  $\phi$  also implying this statement; see (23). Therefore we can consider the inverse images under  $\phi$  of the described parts of  $\partial\mathbb{G}$ :

$$e_k = \phi^{-1}(e_k), \quad l_k = \phi^{-1}(l_k).$$

If  $w \in (r_k \lambda_k, \lambda_k)$ , then  $\phi^{-1}(\{w\})$  consists of two points on  $\mathbb{T}$ . If  $\lambda \in \mathbb{T}$  and  $\phi$  is the standard conformal mapping onto  $\mathbb{G}$ , then  $z \rightarrow \lambda \phi(\bar{\lambda} z)$  is the standard conformal mapping of  $\mathbb{D}$  onto the rotated slit disc  $\lambda \mathbb{G}$ . Notice that if  $\mathbb{G}$  rotates counterclockwise then the inverse image of the boundary  $\partial\mathbb{G}$  on  $\mathbb{T}$  also rotates counterclockwise. This can be easily seen from the equivalence

$$\lambda \phi(\bar{\lambda} z) \in \lambda l_k \Leftrightarrow z \in l_k.$$

**Definition 1.1.** A slit disc is called rational (rationally placed) if the lengths of all its circular arcs between neighboring slits (the arguments of the slits) are rational multiples of  $2\pi$ .

A probability measure  $\sigma \in \mathfrak{P}(\mathbb{T})$  on  $\mathbb{T}$  is called periodic if its Schur's function  $f = f^\sigma$  defined by

$$\int_{\mathbb{T}} \frac{\zeta + z}{\zeta - z} d\sigma(\zeta) = \frac{1 + zf^\sigma}{1 - zf^\sigma}, \quad (1)$$

generates in the Hardy algebra  $H^\infty$  a periodic Schur's algorithm:

$$f_0 = \frac{zf_1(z) + a_0}{1 + \bar{a}_0 z f_1(z)}; \dots; f_n = \frac{zf_{n+1}(z) + a_n}{1 + \bar{a}_n z f_{n+1}(z)}; \dots \quad (2)$$

The sequence  $\mathcal{S}(f) = \{a_n\}_{n \geq 0}$ ,  $a_n = f_n(0)$ , is called the sequence of the *Schur parameters* of  $f$ . Since by (1) the correspondence  $\sigma \longleftrightarrow f = f^\sigma$  is one-to-one, the numbers  $\{a_n\}_{n \geq 0}$  can be considered as parameters of  $\sigma$ . By an old Geronimus theorem [6,7,14], they coincide with the Verblunsky parameters of  $\sigma$ . Since Schur's algorithm (2) is uniquely determined by its

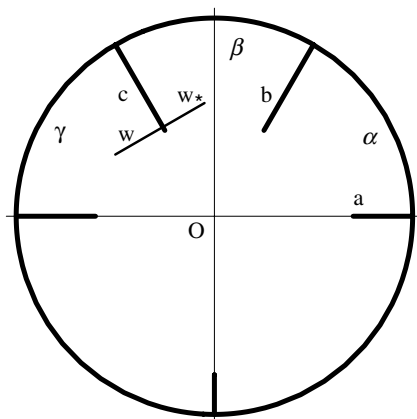


Fig. 1. A slit disc.

parameters  $\{a_n\}_{n \geq 0}$ , a measure  $\sigma$  in  $\mathfrak{P}(\mathbb{T})$  (a Schur's function  $f = f^\sigma$ ) is periodic if and only if  $\{a_n\}_{n \geq 0}$  is periodic and is pure periodic if  $\{a_n\}_{n \geq 0}$  is pure periodic.

By Theorem 1.2 of [8] the essential support  $\text{supp}_{ess}(\sigma)$  of any periodic measure  $\sigma$  is the closure  $E$  of the set  $\mathcal{E}(f^\sigma) = \{t \in \mathbb{T} : |f^\sigma(t)| < 1\}$ :

$$E = \bigcup_{k=1}^{g+1} e_k, \quad (3)$$

where  $\{e_k\}_{k=1}^{g+1}$  is a finite family of closed non-intersecting arcs on  $\mathbb{T}$ . The main result of the present paper claims that the essential supports of periodic measures are the inverse images under standard conformal mappings of the circular parts of the boundaries of rationally placed slit discs.

**Theorem 1.1.** *A closed subset  $E$  of the form (3) is the essential support of a periodic measure if and only if there exists a rationally placed slit disc  $\mathbb{G}_E$  with slits  $\mathfrak{l}_k$  and arcs  $\mathfrak{e}_k$  such that its standard conformal mapping  $\phi$  satisfies*

$$e_k = \phi^{-1}(\mathfrak{e}_k), \quad k = 1, \dots, g+1.$$

## 2. Wall pairs and slit discs

Since  $\mathcal{E}(f)$  is invariant under Schur's algorithm (see [9] or [8,10]), the families of essential supports of periodic and pure periodic measures coincide. The Schur function  $f = f^\sigma$  of any non-zero pure periodic  $\sigma \in \mathfrak{P}(\mathbb{T})$  with period  $d+1$  is uniquely determined by a Wall pair  $(A, B)$  of relatively prime polynomials  $A$  and  $B$ :

$$f = \frac{A + zB^*f}{B + zA^*f} \iff zA^*f^2 + (B - zB^*)f - A = 0. \quad (4)$$

The degree  $d = \deg(A)$  of  $A$  is called the degree of the Wall pair. A pair  $(A, B)$  of polynomials is a Wall pair of degree  $d$  if and only if  $B(0) = 1$ ,  $B$  has no zeros  $\lambda$  with  $|\lambda| \leq 1$ ,  $\deg(A) = d$ , and

$$|B|^2 - |A|^2 = \omega > 0 \quad \text{on } \mathbb{T}; \quad (5)$$

see [9, Theorem 2.1]. Multiplying (5) by  $z^d$ , we obtain an important formula

$$B^*B - A^*A = \omega z^d, \quad (6)$$

where

$$A^* = z^d \overline{A(1/\bar{z})}, \quad B^* = z^d \overline{B(1/\bar{z})}.$$

Formula (6) is called the *determinant identity*. By (6) and (4)

$$f - \frac{A}{B} = \frac{(zB^*B - zA^*A)f}{B(B + zA^*f)} = \frac{\omega z^{d+1}f}{B(B + zA^*f)},$$

implying the periodicity of  $f$ . Any Wall pair  $(A, B)$  is uniquely determined by the polynomial  $A$ ,  $\deg(A) = d$ , and the parameter  $\omega$ . The only restriction on  $A$  is the inequality

$$\int_{\mathbb{T}} \log |A|^2 dm < 0. \quad (7)$$

Then there is a unique  $\omega \in (0, 1)$  such that

$$\int_{\mathbb{T}} \log(|A|^2 + \omega) dm = 0. \quad (8)$$

By Fejér's theorem and Jenssen's formula (see [15]), the equation  $|B|^2 = |A|^2 + \omega$  has a solution in polynomials with  $B(0) = 1$ . Such a  $B$  cannot vanish in  $\mathbb{D}$ .

Every Wall pair  $(A, B)$  determines an important entire algebraic function

$$\sqrt{\omega}X^2 - b(z)X + \sqrt{\omega}z^{d+1} = 0, \quad (9)$$

where  $b = B + zB^*$ ,  $\deg B = d$  is a polynomial with roots on  $\mathbb{T}$  placed exactly at the solutions to the equation  $zB^*/B = -1$ . If  $f$  is a Schur function satisfying (4), then by [8, Theorem 1.2] the closure  $E$  of

$$\mathcal{E}(f) = \{t \in \mathbb{T} : |b|^2 - 4\omega < 0\} \quad (10)$$

is the essential support of  $\sigma$  with the Schur function  $f$ . The set  $E$  has  $g + 1$  components as indicated in (3). On the one hand  $g \leq d$ ; see [8, Corollary 5.12]. On the other hand the method of slit discs presented in this paper shows that given any pair  $g \leq d$  one can construct a set  $E$  with  $g + 1$  components which is an essential support of a periodic measure of period  $d + 1$ ; see Corollary 5.5.

Eq. (9) has two solutions

$$\rho_1(z) = \frac{\sqrt{\mathcal{D}}}{2\sqrt{\omega}} \left\{ \frac{b}{\sqrt{\mathcal{D}}} + 1 \right\}, \quad \rho_2(z) = \frac{\sqrt{\mathcal{D}}}{2\sqrt{\omega}} \left\{ \frac{b}{\sqrt{\mathcal{D}}} - 1 \right\}, \quad (11)$$

where  $\rho_1(0) = \omega^{-1/2}$  and  $\mathcal{D} = b^2 - 4\omega z^{d+1}$  is the discriminant of (9). Since by Viète's formula  $\rho_1\rho_2 = z^{d+1}$ , the function  $\rho_1$  does not vanish in the closed unit disc. Since  $\rho_2/\rho_1$  is a contractive analytic function in  $\mathbb{D}$  by [8, Theorem 4.3, (76)],

$$\phi = \left( \frac{\rho_2}{\rho_1} \right)^{\frac{1}{d+1}}, \quad \phi(0) > 0, \quad (12)$$

also is analytic and contractive in  $\mathbb{D}$ .

Let us consider the simplest case of 1-periodic measures. Then  $B = 1$  and  $A = a$ ,  $a \in \mathbb{D}$ . We assume for simplicity that  $a > 0$ . Hence  $\omega = 1 - a^2$  and

$$b(z) = 1 + z = 2e^{i\frac{\theta}{2}} \cos \frac{\theta}{2};$$

$$\mathcal{D} = (1 + z)^2 - 4(1 - a^2)z = 4e^{i\theta} \left\{ \cos^2 \frac{\theta}{2} - (1 - a^2) \right\}, z = e^{i\theta}.$$

Since both  $b$  and  $\mathcal{D}$  are positive on  $[0, 1]$ , we obtain that on  $\mathbb{T}$

$$\frac{b}{\sqrt{\mathcal{D}}} = \frac{\cos \frac{\theta}{2}}{\sqrt{a^2 - \sin^2 \frac{\theta}{2}}} = \frac{\cos \frac{\theta}{2}}{\sqrt{\cos^2 \frac{\theta}{2} - \omega}},$$

where  $\sqrt{x} > 0$  if  $x > 0$ . Hence  $b/\sqrt{\mathcal{D}} > 1$  on the open circular arc  $l$  from  $-2 \arcsin a$  to  $+2 \arcsin a$  with center at  $z = 1$  and  $b/\sqrt{\mathcal{D}}$  is pure imaginary on its complement  $\Delta_a = \mathbb{T} \setminus l$ . Since  $b/\sqrt{\mathcal{D}}$  is a function of  $\cos \frac{\theta}{2}$ , it is even on  $(-2 \arcsin a, 2 \arcsin a)$  and monotonically increases on  $[0, 2 \arcsin a)$  from  $1/a$  to  $+\infty$ . It follows that

$$\phi(e^{i\theta}) = \frac{b/\sqrt{\mathcal{D}} - 1}{b/\sqrt{\mathcal{D}} + 1} = \frac{4\omega z}{\left(z + 1 + \sqrt{(z + 1)^2 - 4\omega z}\right)^2}, \quad (13)$$

and  $\phi(e^{i\theta})$  moves from  $(1 - a)/(1 + a)$  to 1 inside the slit  $[(1 - a)/(1 + a), 1]$  when  $\theta$  moves from 0 to  $2 \arcsin a$ . Starting from  $\theta = 2 \arcsin a$  the point  $\phi(e^{i\theta})$  moves anticlockwise along  $\mathbb{T}$  until it returns back to 1 at  $\theta = 2\pi - 2 \arcsin a$ . By the symmetry it moves back to  $(1 - a)/(1 + a)$  along the slit  $[(1 - a)/(1 + a), 1]$  when  $\theta$  increases from  $2\pi - 2 \arcsin a$  to  $2\pi$ . By the argument principle  $\phi$  is the standard conformal mapping of  $\mathbb{D}$  onto the open unit disc with a slit along the segment of the real line  $[(1 - a)/(1 + a), 1]$ ; see [4, Theorem 1.3]. One may observe that

$$\phi(z) = \frac{z}{\Phi^2(z)}, \quad (14)$$

where

$$\Phi(z) = \frac{z + 1 + \sqrt{(z + 1)^2 - 4\omega z}}{2\sqrt{\omega}}$$

is the conformal mapping of  $\mathbb{C} \setminus \Delta_a$  onto the domain  $\{|z| > 1\}$  such that  $\Phi(z) \sim \omega^{-1/2}z$  as  $z \rightarrow \infty$  [7, Lemma 8.121]. We are going to extend the important formula (14) to arbitrary Wall pairs.

### 3. The multi-valued function $\Phi$

It is well-known (see e.g. [13]) that for a finite union  $E = \bigcup_{k=1}^{s+1} e_k$  of closed non-intersecting arcs on  $\mathbb{T}$  the Green's function  $g_E(z)$  is uniquely defined as a positive harmonic function in  $\mathbb{C} \setminus E$  which is continuous at every point of  $E$ , vanishes on  $E$  and satisfies

$$g_E(z) = \log |z| + \log \frac{1}{C(E)} + o(1), \quad \text{as } z \rightarrow \infty.$$

The constant  $C(E) \in (0, 1)$  is called the *logarithmic capacity* of  $E$ .

In a simply connected neighborhood of every  $z_0 \in \mathbb{C} \setminus E$  there exists a harmonic function  $\tilde{g}_E(z)$ , such that  $g_E(z) + i\tilde{g}_E(z)$  is analytic about  $z_0$ . The function  $\tilde{g}_E(z)$  is called *harmonically conjugate* to  $g_E(z)$ . The Cauchy–Riemann equations for  $g_E(z) + i\tilde{g}_E(z)$  determine  $\tilde{g}_E(z)$  locally up to a constant. We fix the choice of  $\tilde{g}_E(z)$  about  $z = 0$  by the requirement  $\tilde{g}_E(0) = 0$ . The function  $g_E(z) + i\tilde{g}_E(z)$  extends from the unit disc  $\mathbb{D}$  to a multi-valued analytic function in  $\mathbb{C} \setminus E$ . If  $z$  makes a loop in  $\mathbb{C} \setminus E$  then  $g_E(z) + i\tilde{g}_E(z)$  returns back to the starting point of the loop with a pure imaginary increment. Following [2,16] and [17] we associate with each  $E$  a multi-valued function analytic in  $\mathbb{C} \setminus E$  with the single-valued modulus:

$$\Phi(z) = \Phi_E(z) = \exp\{g_E(z) + i\tilde{g}_E(z)\}. \quad (15)$$

If  $E$  is fixed and there is no confusion we denote by  $\Phi_1$  the single-valued branch of  $\Phi_E$  in  $\mathbb{D}$  such that  $\Phi_1(0) > 1$ .

The open set  $\mathbb{T} \setminus E$  in  $\mathbb{T}$  is made of  $g+1$  open circular arcs  $l_k$ , which are enumerated so that  $e_k$  follows  $l_k$  counterclockwise. The standard stereographic projection of the unit sphere  $S^2$  in  $\mathbb{R}^3$  placed with its southern pole at the origin above the coordinate plane  $XOY$  maps the northern pole of  $S^2$  to the infinity; see e.g. [1]. We denote by  $e^-$  the side of a circular arc  $e \subset \mathbb{T}$  facing  $\infty$  and by  $e^+$  its side facing 0. Similarly  $f^+$  are the boundary values on  $\mathbb{T}$  of a function  $f$  from the side of 0 and  $f^-$  are the boundary values from the side of  $\infty$ .

The function  $\Phi_1$  has a number of important properties. To begin with, by (15) it satisfies

$$\frac{\overline{\Phi_1^+(\zeta)}}{\Phi_1^+(\zeta)} = \exp\{-2i\tilde{g}_E^+(\zeta)\}, \quad \zeta \in \mathbb{T}. \quad (16)$$

By [8, (19)] the radial limits  $\Phi_1^+(\zeta)$  of  $\Phi_1$  on  $\mathbb{T} \setminus E$  satisfy

$$\frac{\overline{\Phi_1^+(\zeta)}}{\Phi_1^+(\zeta)} = \lambda_k \bar{\zeta}, \quad \zeta \in l_k. \quad (17)$$

The numbers  $\lambda_k$  are called in [8] the phases of  $\Phi_E$ . By (16) and (17)

$$2\tilde{g}_E^+(e^{i\theta}) = \theta - \arg(\lambda_k), \quad e^{i\theta} \in l_k. \quad (18)$$

The multi-valued function  $g_E(z) + i\tilde{g}_E(z)$  is analytic everywhere except for the ends  $z = t_j^\pm$  of the connected components  $e_j$  of  $E$ . We assume that  $t_j^+$  follows  $t_j^-$  counterclockwise so that  $e_j = [t_j^-, t_j^+]$ . Therefore we may consider continues branches of  $\tilde{g}_E^+$  and  $\tilde{g}_E^-$  on  $e_j = [t_j^-, t_j^+]$ . Since  $e_j$  is connected, any two such branches differ by a constant. The following lemma follows from the Cauchy–Riemann equations; see [8, Lemma 3.6].

**Lemma 3.1.** *On every  $e_k$  the function  $\tilde{g}_E^+$  decreases counterclockwise and  $\tilde{g}_E^-$  increases counterclockwise. In particular  $\tilde{g}_E^- - \tilde{g}_E^+$  increases counterclockwise. The sum  $\tilde{g}_E^- + \tilde{g}_E^+$  equals  $\theta + \delta_k$ ,  $\delta_k$  being a real constant.*

**Proof.** Since  $g_E \equiv 0$  on  $E$ , the second Cauchy–Riemann equation implies that  $\partial \tilde{g}_E / \partial n^\pm \equiv 0$  on  $(t_j^-, t_j^+)$ . Next,

$$\begin{aligned} 0 &\leq \frac{\partial g_E}{\partial n^+}(e^{i\theta}) = - \lim_{s \rightarrow 1^-} \frac{\partial \tilde{g}_E}{\partial \theta}(se^{i\theta}) = - \frac{\partial \tilde{g}_E^+}{\partial \theta}(e^{i\theta}), \\ 0 &\leq \frac{\partial g_E}{\partial n^-}(e^{i\theta}) = \lim_{s \rightarrow 1^+} \frac{\partial \tilde{g}_E}{\partial \theta}(se^{i\theta}) = \frac{\partial \tilde{g}_E^-}{\partial \theta}(e^{i\theta}). \end{aligned}$$

By [8, Lemma 2.1]

$$\frac{\partial g_E}{\partial n^-} = 1 + \frac{\partial g_E}{\partial n^+}, \quad (19)$$

implying the last statement in the lemma.  $\square$

#### 4. The conformal mapping $\phi_E$

By the Green's formula and Lemma 3.1 the harmonic measures  $\nu_E(e_k)$  satisfy

$$2\pi \nu_E(e_k) = \int_{e_k^+} \frac{\partial g_E}{\partial n^+} |d\zeta| + \int_{e_k^-} \frac{\partial g_E}{\partial n^-} |d\zeta| = \overleftarrow{\Delta}_{e_k^+}(\tilde{g}_E^- - \tilde{g}_E^+). \quad (20)$$

The phases  $\lambda_k$  of  $\Phi_E$  satisfy

$$\lambda_{k+1} = \lambda_k e^{2\pi i \nu_E(e_k)}, \quad k = 1, \dots, g+1, \quad \lambda_{g+2} = \lambda_1; \quad (21)$$

see [8, Lemma 3.7], or combine (18) with (20). For  $k = 1, \dots, g+1$  we put

$$r_k = \inf_{\zeta \in l_k} |\Phi_E(\zeta)|^{-2}. \quad (22)$$

It is clear that  $0 < r_k < 1$ . Now we make  $g+1$  radial cuts  $l_k = [r_k \lambda_k, \lambda_k]$  in  $\mathbb{D}$  and denote by  $\mathbb{G}_E$  the slit disc obtained. By (21) the circular distance between neighboring slits of  $\mathbb{G}_E$  is  $2\pi \nu_E(e_k)$ . By [8, (17)]

$$\phi_E(z) = \frac{z}{\Phi_E^2(z)} = z C(E)^2 \exp \int_0^z \left\{ \frac{r_E(\zeta)}{\zeta \sqrt{\mathcal{D}_E(\zeta)}} - \frac{1}{\zeta} \right\} d\zeta, \quad |z| \leq 1, \quad (23)$$

where

$$\begin{aligned} r_E(z) &= -(z - \tau_1) \cdots (z - \tau_{g+1}), \\ \mathcal{D}_E(z) &= \prod_{k=1}^{g+1} (z - t_k^-)(z - t_k^+). \end{aligned} \quad (24)$$

In (24)  $\tau_k$  are the zeros of the harmonic conjugate function  $\tilde{\nu}_E$  on  $\mathbb{T}$  for the equilibrium measure  $\nu_E$  of the compact  $E$ . Each open interval  $l_k$  contains exactly one zero  $\tau_k$ .

**Corollary 4.1.** *For every  $\phi_E$  we have*

$$\phi_E'(0) = C(E)^2. \quad (25)$$

**Proof.** Differentiate (23) and put  $z = 0$ .  $\square$

**Theorem 4.2.** *For any  $E = \cup_{k=1}^{g+1} e_k$  the function*

$$\phi_E(z) = \frac{z}{\Phi_E^2(z)} \quad (26)$$

*is the standard conformal mapping of  $\mathbb{D}$  onto the slit disc  $\mathbb{G}_E$ .*

**Proof.** By the Schwarz reflection principle [4, Ch V, Section 4] or by (23)  $\phi_E$  extends to a continuous function in the closed unit disc. If  $\zeta \in l_k$ , then (17) shows that

$$\phi_E(\zeta) = \frac{1}{|\overline{\phi_E}|^2} \zeta \frac{\overline{\phi_E(\zeta)}}{\phi_E(\zeta)} = \frac{\lambda_k}{|\overline{\phi_E}|^2},$$

implying that  $\phi_E(\zeta)$  maps  $l_k$  onto the cut  $l_k$ . Combining this formula with (23), we obtain for  $z \in l_k$  that

$$\begin{aligned} |\phi_E(z)| &= \frac{1}{|\overline{\phi_E(z)}|^2} = C \exp \Re \left( \int_{t_k^+}^z \left\{ \frac{r_E(\zeta)}{\zeta \sqrt{\mathcal{D}_E(\zeta)}} - \frac{1}{\zeta} \right\} d\zeta \right) \\ &= C \exp \Re \left( i \int_{t_k^+}^z \left\{ \frac{r_E(\zeta)}{\sqrt{\mathcal{D}_E(\zeta)}} - 1 \right\} d\theta \right) \\ &= C \exp \Re \left( i \int_{t_k^+}^z \frac{r_E(\zeta)}{\sqrt{\mathcal{D}_E(\zeta)}} d\theta \right) = C \exp \left( \int_{t_k^+}^z \frac{ir_E(\zeta)}{\sqrt{\mathcal{D}_E(\zeta)}} d\theta \right), \end{aligned}$$

since  $r_E(z)\mathcal{D}_E(z)^{-1/2}$  is imaginary on  $\mathbb{T} \setminus E$ ; see [8, Theorem 3.2]. It follows that

$$\frac{d|\phi_E(e^{i\theta})|}{d\theta} = |\phi_E(e^{i\theta})| \frac{ir_E(e^{i\theta})}{\sqrt{\mathcal{D}_E(e^{i\theta})}},$$

vanishes only at the zeros  $\tau_k$  of  $r_E$  on  $l_k$ . Hence when  $\zeta$  moves from  $t_{k-1}^+$  to  $t_k^-$  its image  $\phi_E(\zeta)$  first moves to the center along  $l_k$  until  $\zeta$  reaches  $\tau_k$ . After that it returns back to the circle.

If  $\zeta = e^{i\theta}$  moves counterclockwise along  $e_k$ , then  $\phi_E(e^{i\theta}) = e^{i(\theta - 2\tilde{g}_E^+)}$ . Since

$$\theta - 2\tilde{g}_E^+ = \tilde{g}_E^- + \tilde{g}_E^+ - 2\tilde{g}_E^+ - \delta_k = \tilde{g}_E^- - \tilde{g}_E^+ - \delta_k$$

increases by Lemma 3.1, the argument of  $\phi_E(\zeta)$  increases by  $2\pi\nu_E(e_k)$ ; see (21). Since the argument of  $\phi_E$  monotonically increases by  $2\pi$  along  $\mathbb{T}$ , the argument principle implies that  $\phi_E$  is a conformal mapping of  $\mathbb{D}$  onto  $\mathbb{G}_E$  [4, Theorem 1.3].  $\square$

**Theorem 4.3** (Bieberbach–Köbe). *Let  $G$  be the image of  $\mathbb{D}$  under the conformal mapping*

$$w = f(z) = z + a_2 z^2 + \cdots + a_n z^n + \cdots.$$

*Then  $G$  always contains the disc  $\{w : |w| < \frac{1}{4}\}$ .*

The proof of this theorem can be found in for instance [12, Theorem 1.6, p 16–17<sub>3</sub>]. Combining Theorem 4.2 and formula (23) with the Bieberbach–Köbe theorem, we can easily find a relationship of  $C(E)$  with the radii  $r_k$  of the slit discs  $G_E$ .

**Corollary 4.4.** *Let  $E \subset \mathbb{T}$  be a closed subset of  $\mathbb{T}$  with a finite number of connected components. Let  $\phi_E$  be the conformal mapping of  $\mathbb{D}$  onto a slit disc  $\mathbb{G}_E$  with the slits  $l_k = [r_k \lambda_k, \lambda_k]$  in  $\mathbb{D}$ . Then*

$$C(E)^2 \leq \min_k 4r_k. \quad (27)$$

**Proof.** By the Bieberbach–Köbe theorem the image  $C(E)^{-2}\mathbb{G}_E$  of  $\mathbb{D}$  under the conformal mapping  $z \rightarrow C(E)^{-2}\phi_E(z)$  contains the disc  $\{|z| \leq 0.25\}$ .  $\square$



By Corollary 4.4 the set  $E$  has small capacity if at least one slit  $l_k$  of  $\mathbb{G}_E$  goes too close to the origin. Another theorem [12, Theorem 1.5, p 153] estimates the coefficient at  $z^2$  in the Maclaurin series of  $C(E)^{-2}\phi_E$ .

**Theorem 4.5.** *Let  $G$  be the image of  $\mathbb{D}$  under the conformal mapping*

$$w = f(z) = z + a_2 z^2 + \cdots + a_n z^n + \cdots.$$

*Then  $|a_2| \leq 2$ .*

Since  $(r_E/\sqrt{\mathcal{D}})(0) = 1$  by (23),

$$C(E)^{-2}\phi_E(z) = z + \left(\frac{r_E}{\sqrt{\mathcal{D}}}\right)'(0)z^2 + \cdots.$$

Simple differentiation shows that

$$\left(\frac{r_E}{\sqrt{\mathcal{D}}}\right)' = \frac{r'_E}{\sqrt{\mathcal{D}}} - \frac{r_E}{\sqrt{\mathcal{D}}} \frac{\mathcal{D}'}{2\mathcal{D}} = \frac{r_E}{\sqrt{\mathcal{D}}} \left(\frac{r'_E}{r_E} - \frac{\mathcal{D}'}{2\mathcal{D}}\right).$$

By Theorem 4.5 the sum of the distances from the middles of the chords completing arcs  $l_k$  to the zeros  $\tau_k$  of  $r_E$  in  $l_k$  cannot exceed 2:

$$\left|\tau_k - \sum_{k=1}^{g+1} \frac{t_k^+ + t_{k+1}^-}{2}\right| = \left|\left(\frac{r'_E}{r_E} - \frac{\mathcal{D}'}{2\mathcal{D}}\right)(0)\right| \leq 2. \quad (28)$$

The conformal mapping  $\phi_E$  extends by the Schwarz reflection principle through  $E = \bigcup_{k=1}^{g+1} e_k$  to the conformal mapping of the domain  $\hat{\mathbb{C}}$  with the circular slits  $l_k$  onto the domain  $\hat{\mathbb{C}}$  with the radial slits  $[r_k \lambda_k, r_k^{-1} \lambda_k]$ .

**Theorem 4.6.** *Let  $\phi$  be the conformal mapping of  $\mathbb{D}$  onto a slit disc  $\mathbb{G}$  with the radial slits  $\{l_k\}_{k=1}^{g+1}$  and the arcs  $\{e_k\}_{k=1}^{g+1}$  such that  $\phi(0) = 0$ ,  $\phi'(0) > 0$ . Let  $E = \bigcup_{k=1}^{g+1} e_k$ , where  $e_k = \phi^{-1}(e_k)$ . Then*

$$\Phi_E = \Phi_1(z) = \sqrt{\frac{z}{\phi(z)}}, \quad \sqrt{1} = 1. \quad (29)$$

**Proof.** Let  $\{l_k\}_{k=1}^{g+1}$  be the set of open complementary arcs for  $E$ . Then

$$\phi(\text{Clos}(l_k)) = l_k.$$

Since  $l_k$  is an arc and  $l_k$  is a segment of line, the analytic function  $\phi$  extends by the symmetry to  $\{|z| > 1\}$ . Namely if  $\phi(z) = w$ , then  $\phi(1/\bar{z}) = w^*$ , where  $w^*$  is the symmetric reflection of  $w$  with respect to the line passing through  $l_k$ ; see Fig. 1. Since  $|w^*| = |w|$  whatever slit  $l_k$  is taken, the extension of  $\phi$  to  $\mathbb{C} \setminus E$  through  $\bigcup_{k=1}^{g+1} l_k$  defines in  $\mathbb{C} \setminus E$  a multi-valued analytic function with the single-valued modulus. Hence the function

$$g(z) = \frac{1}{2} \log \frac{|z|}{|\phi(z)|}$$

is harmonic in  $\mathbb{C} \setminus E$ , equals 0 on  $E$  and is positive in  $\mathbb{C} \setminus E$ . Since  $|\phi(z)| = |\phi(1/\bar{z})|$  and  $\phi(z) \sim \phi'(0)z$ ,  $z \rightarrow 0$ , we conclude that

$$|\phi(z)| = |\phi(1/\bar{z})| \sim \frac{\phi'(0)}{|z|}, \quad z \rightarrow \infty.$$

It follows that  $g(z) = \log |z| + O(1)$  as  $z \rightarrow \infty$ . This implies that  $g = g_E$  is the Green's function for  $\mathbb{C} \setminus E$  proving the theorem.  $\square$

**Corollary 4.7.** *Let  $\phi$ ,  $\phi(0) = 0$ ,  $\phi'(0) > 0$  be the standard conformal mapping of  $\mathbb{D}$  onto a slit disc  $G$  with the radial slits  $\{l_k\}_{k=1}^{g+1}$  and the arcs  $\{e_k\}_{k=1}^{g+1}$  on  $\mathbb{T}$ . Let  $e_k = \phi^{-1}(\epsilon_k)$  and  $E = \cup_{k=1}^{g+1} e_k$ . Then*

$$|\epsilon_k| = 2\pi v_E(e_k). \quad (30)$$

**Corollary 4.8.** *For any tuple  $(\alpha_1, \dots, \alpha_{g+1})$  of positive numbers with  $\sum_k \alpha_k = 1$  there is a finite family  $\{e_k\}_{k=1}^{g+1}$  of closed non-intersecting arcs  $e_k$  placed on  $\mathbb{T}$  counterclockwise such that  $v_E(e_k) = \alpha_k$ .*

**Proof.** Since  $\sum_k \alpha_k = 1$ , there is a family of arcs  $\{e_k\}_{k=1}^{g+1}$  enumerated in the counterclockwise direction covering  $\mathbb{T}$ . Let  $\mathbb{G}$  be obtained from  $\mathbb{D}$  by making cuts  $l_k$  of arbitrary length  $r_k \in (0, 1)$  at the ends of  $e_k$ . Let  $\phi$  be the standard conformal mapping of  $\mathbb{D}$  onto  $\mathbb{G}$ . By (21)  $v_E(e_k) = \alpha_k$ , where  $e_k = \phi^{-1}(\epsilon_k)$ .  $\square$

**Corollary 4.9.** *For every finite union  $E = \cup_{k=1}^{g+1} e_k$  of closed non-intersecting arcs on  $\mathbb{T}$  enumerated counterclockwise there is a unique slit disc  $\mathbb{G}$  such that the standard conformal mapping  $\phi_E$  maps  $\mathbb{D}$  onto  $\mathbb{G}$  and  $E = \phi_E^{-1}(\mathbb{T})$ .*

**Proof.** Apply Theorem 4.2.  $\square$

## 5. Rational compacts

A  $\lambda \in \mathbb{T}$  is called unitary rational if  $\lambda^n = 1$  for some  $n \in \mathbb{Z}$ . A compact  $E = \cup_{k=1}^{g+1} e_k$  is called rational if  $v_E(e_k) \in \mathbb{Q}$  for every  $k$ . The smallest positive number  $P = P(E)$  such that  $Pv_E(e_k) \in \mathbb{Z}$  for every  $k$  is called the period of a rational compact  $E$ . A rational compact  $E$  is called rationally placed if all phases of  $\Phi_E$  are unitary rational. A rationally placed compact  $E$  is called balanced if  $\lambda_k^P = 1$  for every phase  $\lambda_k$  of  $\Phi_E$ , where  $P = P(E)$ ; see [8, Definition 1.5].

If  $b$  is a polynomial with roots on  $\mathbb{T}$  of degree  $d + 1$ , then  $|b|$  has  $d + 1$  local maxima on  $\mathbb{T}$ , the smallest of which we denote by  $m_b$ . An elementary proof of the following theorem can be found in [8, Theorem 6.6].

**Theorem 5.1.** *A compact  $E$  is rational if and only if there are a monic polynomial  $b$  with roots on  $\mathbb{T}$  and  $0 < 4\omega \leq m_b^2$  such that  $E = \{t \in \mathbb{T} : |b(t)|^2 \leq 4\omega\}$ .*

For rational compacts the function  $\Phi_E$  is algebraic and can be represented as

$$\varrho_1(z) = \Phi^P(z), \quad \varrho_2(z) = \overline{\Phi}(1/\bar{z})^{-P}. \quad (31)$$

Here  $\varrho_1$  and  $\varrho_2$  are the roots of the following quadratic equation:

$$\begin{aligned}\sqrt{\omega}X^2 - b_E(z)X + \sqrt{\omega}z^P &= 0, \\ \sqrt{\omega} &= C(E)^P = \varrho_1(0)^{-1}, \quad b_E = \varrho_1(0)^{-1}(\varrho_1 + \varrho_2),\end{aligned}\quad (32)$$

$b_E$  being a monic polynomial of degree  $P$  with simple roots on  $E$ . We denote by  $\mathcal{D} = b_E^2 - 4\omega z^P$  the discriminant of (32). By [8, Theorem 4.3]:

**Theorem 5.2.** Any rational compact  $E$  on  $\mathbb{T}$  is the closure of

$$\mathcal{E} = \{t \in \mathbb{T} : |b_E|^2 - 4\omega < 0\}, \quad (33)$$

where  $b_E = \varrho_1(0)^{-1}(\varrho_1 + \varrho_2)$ , is a separable polynomial of degree  $P = P(E)$ . The function  $b_E \mathcal{D}^{-1/2}$  is positive on  $\mathbb{T} \setminus E$ , is pure imaginary on  $E$ , and

$$\frac{1 + \varrho_2/\varrho_1}{1 - \varrho_2/\varrho_1}(z) = \frac{b_E}{\sqrt{\mathcal{D}}}(z), \quad (34)$$

where the branch of  $\sqrt{\mathcal{D}}$  is chosen so that  $\sqrt{\mathcal{D}(0)} = \sqrt{1} = 1$  is a function with positive real part in  $\mathbb{D}$ .

By (31), (32) and (34) on the unit circle

$$\frac{1}{|\Phi_E|^{2P}} = \left| \frac{z^P}{\varrho_1^2} \right| = \left| \frac{\varrho_1 \varrho_2}{\varrho_1^2} \right| = \left| \frac{\varrho_2}{\varrho_1} \right| = \left| \frac{b/\sqrt{\mathcal{D}} - 1}{b/\sqrt{\mathcal{D}} + 1} \right|. \quad (35)$$

Theorem 5.2 and the method of slit discs lead to a natural parametrization of rational compacts. An  $n$ -tuple  $(\alpha)_n$  of positive numbers  $(\alpha_1, \dots, \alpha_n)$  such that  $\sum_k \alpha_k = 1$  is called rational if  $\alpha_k \in \mathbb{Q}$  for every  $k$ . A positive integer  $d + 1$  is called the period of  $(\alpha)_n$  if it is the smallest positive integer such that  $(d + 1)\alpha_k \in \mathbb{Z}$  for every  $k$ .

**Theorem 5.3.** Let  $g + 1$  be a positive integer;  $(x)_{g+1}$  be a  $g + 1$ -tuple of positive real numbers such that  $x_k > 4$  for every  $k$  and  $(\alpha)_{g+1}$  be a rational  $g + 1$ -tuple with period  $d + 1$ . Then there exist a unique up to a rotation monic polynomial  $b$ ,  $\deg(b) = d + 1$ , with all roots on  $\mathbb{T}$  and  $\omega \in (0, 1)$  such that:

- (a)  $\{t \in \mathbb{T} : |b|^2 > 4\omega\} = \bigcup_{k=1}^{g+1} l_k$ , where  $l_k$  are open arcs on  $\mathbb{T}$  with non-intersecting closures;
- (b)  $\max_{t \in l_k} |b|^2 = x_k \omega$  for every  $k$ ;
- (c)  $\nu_E(e_k) = \alpha_k$  for every  $k$ ,  $e_k$  being the closed arcs between  $l_k$  and  $l_{k+1}$ .

**Proof.** Since

$$x \longrightarrow \frac{1}{x} + 2 + x$$

decreases on  $(0, 1)$  from infinity to 4 there is a unique  $g + 1$  tuple  $(r_1, r_2, \dots, r_{g+1})$  of numbers in  $(0, 1)$  such that

$$\frac{(1 + r_k^{d+1})^2}{r_k^{d+1}} = x_k, \quad k = 1, \dots, g + 1.$$

Let  $\mathbb{G}$  be the slit disc obtained from  $\mathbb{D}$  by  $g + 1$  radial cuts  $l_k$  of lengths  $1 - r_k$  separated by circular arcs  $e_k$ ,  $|e_k| = 2\pi\alpha_k$ , and  $\phi$  be the standard conformal mapping of  $\mathbb{D}$  onto  $\mathbb{G}$ . Then by

**Corollary 4.8**  $E = \phi^{-1}(\cup_{k=1}^{g+1} e_k)$  is a rational compact on  $\mathbb{T}$  with period  $P = d + 1$ . Hence by **Theorem 5.2** there are a polynomial  $b = b_E$ ,  $\deg(b) = d + 1$ , with roots on  $E$  and  $\omega \in (0, 1)$  such that

$$\{t \in \mathbb{T} : |b|^2 - 4\omega \leq 0\} = E.$$

Let  $l_k = \Im \text{nt}(\phi^{-1}(l_k))$ . By **Theorem 5.2**  $\varrho_2/\varrho_1$  and  $b\sqrt{D}$  are positive on  $l_k$ . By (35) and the definition of  $r_k$  (see (22)), we have

$$\begin{aligned} r_k^{d+1} &= \inf_{\zeta \in l_k} |\Phi_E(\zeta)|^{-2d-2} = \inf_{l_k} \frac{\rho_2}{\rho_1} = \frac{\inf_{l_k} b/\sqrt{D} - 1}{\inf_{l_k} b/\sqrt{D} + 1} \\ &= 1 - \frac{2}{1 + \inf_{l_k} b/\sqrt{D}} = 1 - \frac{2}{1 + \frac{\max_{l_k} |b|}{\sqrt{\max_{l_k} |b|^2 - 4\omega}}}. \end{aligned}$$

Resolving the above equation in  $\max_{l_k} |b|$ , we complete the proof of (b). Finally (c) follows from (30). The uniqueness up to a rotation follows from the uniqueness of the standard conformal mapping.  $\square$

The polynomial  $b$  constructed in **Theorem 5.3** has curious properties especially if the period  $d + 1$  of  $(\alpha)_{d+1}$  is greater than  $g + 1$ . Then  $4\omega = m_b^2$  by [8, Theorem 5.2 and Corollary 6.7]. On the one hand the graph of  $|b|^2 - m_b^2$  on  $e_k$  touches the zero line from below exactly  $(d + 1)v_E(e_k)$  times [8, Corollary 4.5 and Theorem 5.2]. On the other hand it has exactly one local maximum  $x_k\omega - m_b^2 > 0$  at each complementary interval  $l_k$  of  $E$  [8, Lemma 4.8]. Notice that  $x_k$  may be arbitrarily large. Since for large  $x_k$  the numbers  $r_k$  are small the inequality (27) clearly forces  $\omega = C(E)^{2d+2}$  to be small too.

**Theorem 5.4.** *A compact  $E$  is an essential support of a measure with periodic Verblunsky parameters if and only if  $E = \phi^{-1}(\mathbb{T})$  for a rationally placed  $\mathbb{G}$ .*

**Proof.** By [8, Theorem 1.1] a compact  $E = \cup_{j=1}^{g+1} e_j$  is essentially periodic if and only if it is rational and the phases  $\lambda_k$  of  $E$  are unitary rational. By the definition of  $\phi_E$  this is equivalent to saying that  $\mathbb{G}$  is rationally placed.  $\square$

By **Corollary 4.9** proper compact subsets  $E = \cup_{k=1}^{g+1} e_k$  of  $\mathbb{T}$  are in one-to-one correspondence with slits discs  $\mathbb{G}_E$  by the conformal mappings  $\phi = \phi_E$ .

**Corollary 5.5.** *For any pair  $g \leq d$  of non-negative integers there is a compact  $E \subset \mathbb{T}$  consisting of  $g + 1$  connected components which is the essential support of a periodic measure of period  $d + 1$ .*

**Proof.** We apply **Theorem 5.3**. If  $g = d$ , then we put  $\alpha_j = (g + 1)^{-1}$  for  $j = 1, \dots, g + 1$ . If  $g < d$ , then  $\alpha_j = (d + 1)^{-1}$  for  $j = 1, \dots, g$ , and  $\alpha_{g+1} = (d - g)(g + 1)$ . For an arbitrary choice of  $r_k$  in  $(0, 1)$  we obtain a monic polynomial  $b$  with roots on  $\mathbb{T}$  such that  $\{t \in \mathbb{T} : |b|^2 - 4\omega\}$  is a rotation of some rational balance compact  $E$ . By [8, Theorem 1.4] it is an essential support of some periodic measure of period  $P(E)$  which obviously equals  $d + 1$ .  $\square$

**Theorem 5.6.** *A finite union  $E = \cup_{k=1}^{g+1} e_k$  of closed non-intersecting arcs of  $\mathbb{T}$  is rational if and only if its conformal mapping  $\phi_E$  is an algebraic function.*

**Proof.** If  $E$  is rational, then it can be rotated to support a periodic measure and  $\phi_E$  is algebraic by (12). If  $\phi_E$  is algebraic, then  $\Phi_E$  is algebraic by (29). Hence  $\Phi_E(0)$  takes only a finite number of values [3], which are listed as

$$\Phi_1(0) \exp \left\{ 2\pi i \sum_{k=1}^{g+1} n_k \nu_E(e_k) \right\};$$

see [8, (64)]. This is possible for arbitrary integers  $n_k$  only if all harmonic measures  $\nu_E(e_k)$  are rational.  $\square$

## 6. Approximation by rational compacts

In this section we combine the techniques of slit domains with Carathéodory's theory to approximate any compacts on  $\mathbb{T}$  with a finite number of closed connected components by rational compacts. We present here Carathéodory's theory (see [12, vol III, Ch. 2]), adapted to our case.

Given a sequence of simply connected domains  $\{G_n\}_{n \geq 1}$  containing a fixed disc  $\mathbb{D}_\varepsilon = \{z : |z| < \varepsilon\}$  for some  $\varepsilon > 0$  let  $\mathcal{G}$  be the set of all points  $z \in \mathbb{C}$  such that

$$\Delta(z) \subset \bigcap_{k=n}^{\infty} G_k \quad (36)$$

for some open disc  $\Delta(z)$  centered at  $z$  and  $n = n(z)$ . Then the *Carathéodory kernel*  $G = \text{kernel}(G_n)$  of  $\{G_n\}_{n \geq 1}$  is the connected component of  $\mathcal{G}$  which contains  $\mathbb{D}_\varepsilon$ .

**Definition 6.1.** Let  $G = \text{kernel}(G_n)$ . Then  $\{G_n\}_{n \geq 1}$  is said to converge to  $G$  if  $G = \text{kernel}(G_{n_k})$  for any subsequence  $\{n_k\}_{k \geq 1}$ .

**Theorem 6.1 (Carathéodory Mapping Theorem).** Let  $\{G_n\}_{n \geq 1}$  be a sequence of simply connected domains inside a fixed disc  $\mathbb{D}_R$  such that  $\mathbb{D}_\varepsilon \subset G_n$  for every  $n \geq 1$ . Let  $w = f_n(z)$  be the standard conformal mappings  $f_n : G_n \rightarrow \mathbb{D}$ ,  $f_n(0) = 0$ ,  $f'_n(0) > 0$ , of  $G_n$  onto  $\mathbb{D}$ , and  $z = \phi_n(w) = f_n^{-1}(w)$  be their inverse mappings. If  $\{G_n\}_{n \geq 1}$  converges to  $G$ , then

$$\lim_n f_n(z) = f(z)$$

uniformly on compact subsets of  $G$  and

$$\lim_n \phi_n(w) = \phi(w)$$

uniformly on compact subsets of  $\mathbb{D}$ . The function  $\phi$  is the standard conformal mapping of  $\mathbb{D}$  onto  $G$  and  $f = \phi^{-1}$ .

We apply the Carathéodory mapping theorem to a very special class of domains. Namely, let  $\mathbb{G}$  be a fixed slit domain with  $g + 1$  slits  $l_k = [r_k \lambda_k, \lambda_k]$ ,  $0 < r_k < 1$ , and  $\{\lambda_k^{(n)}\}_{n \geq 1}$  be  $g + 1$  sequences in  $\mathbb{T}$  such that

$$\lambda_k = \lim_n \lambda_k^{(n)}, \quad k = 1, \dots, g + 1.$$

We denote by  $G_n$  the slit disc with  $g + 1$  slits  $l_k^{(n)} = [r_k \lambda_k^{(n)}, \lambda_k^{(n)}]$ .

**Lemma 6.2.** The sequence  $G_n$  converges to  $\mathbb{G} = \text{kernel}(G_n)$ .

**Proof.** To begin with  $\mathbb{D}_\varepsilon \subset G_n$  for  $0 < \varepsilon < \min r_k$ . Next, if  $z \in \mathbb{G}$  then  $z$  is on the positive distance from the boundary  $\partial\mathbb{G}$  of  $\mathbb{G}$  implying that a small disc  $\Delta(z)$  centered at  $z$  belongs to all  $G_n$  except for at most a finite number of  $G_n$  with slits passing through  $\Delta(z)$ . Finally, if  $z \in \mathfrak{l}_k$  or  $z \in \mathfrak{e}_k$ , then every disc  $\Delta(z)$  centered at  $z$  has a non-empty intersection with  $\mathbb{C} \setminus G_n$  if  $n \geq n(z)$ .  $\square$

**Theorem 6.3.** For any finite union  $E = \bigcup_{k=1}^{g+1} e_k$  of closed non-intersecting arcs  $e_k$  on  $\mathbb{T}$  and any  $\epsilon > 0$  there is a rational balanced compact  $E^* = \bigcup_{k=1}^{g+1} e_k^*$  such that the Lebesgue measure  $|E \Delta E^*|$  of the symmetric difference  $E \Delta E^*$  is smaller than  $\epsilon$ .

**Proof.** By Theorem 4.2 the standard conformal mapping  $\phi_E$  maps  $\mathbb{D}$  conformally onto the slit disc  $\mathbb{G}_E$ . The slits  $\mathfrak{l}_k$  of  $\mathbb{G}_E$  have the lengths  $1 - r_k$  and are placed on the rays from 0 to  $\lambda_k$ . For every integer  $n$  there are a sufficiently big prime  $p_n$  and  $g + 1$  roots  $\zeta = \lambda_k^{(n)}$ ,  $k = 1, \dots, g + 1$ , of the equation  $\zeta^{p_n} = 1$  such that

$$|\lambda_k^{(n)} - \lambda_k| < 1/n, \quad k = 1, \dots, g + 1.$$

Let  $\mathbb{G}^{(n)}$  be the slit disc with the radial slits  $\mathfrak{l}_k^{(n)} = [r_k \lambda_k^{(n)}, \lambda_k^{(n)}]$ . By Lemma 6.2 the sequence of simply connected domains  $\mathbb{G}^{(n)}$  converges to  $\mathbb{G}_E$  in the sense of Carathéodory. By Theorem 6.1 the sequence of the standard conformal mappings  $f_n = \phi_n^{-1}$  of  $\mathbb{G}^{(n)}$  onto  $\mathbb{D}$  converges to  $f = \phi^{-1}$  uniformly on the compact subsets of  $\mathbb{G}_E = \text{kernel}(\mathbb{G}^{(n)})$ . Hence by Cauchy's formula  $\lim_n f'_n(0) = f'(0) > 0$ . By Theorem 4.3 there exists  $\delta > 0$  such that

$$\mathbb{D}_\delta \subset f_n(\mathbb{D}_\epsilon) \text{ for every } n. \quad (37)$$

Let  $t \in \mathbb{T}$  be an interior point of an arc  $\mathfrak{e}_k$  and  $\Delta(t)$  be a small open disc centered at  $t$  such that  $\Delta(t) \cap \mathbb{T} \subset \mathfrak{e}_k$ . Since  $\mathfrak{e}_k = [\lambda_k, \lambda_{k+1}]$  and  $\lim_n \lambda_k^{(n)} = \lambda_k$  and  $\lim_n \lambda_{k+1}^{(n)} = \lambda_{k+1}$  there is an integer  $N(\Delta)$  depending on  $\Delta(t)$  such that every function  $f_n$  with  $n > N(\Delta)$  extends through  $\Delta(t) \cap \mathbb{T}$  to  $\{z : |z| > 1\}$  by the Schwarz reflection principle:

$$f_n(z) = \frac{1}{f_n(1/\bar{z})}.$$

Since all  $f_n$  are one-to-one mappings of  $\mathbb{G}^{(n)}$  the inclusion (37) implies that the family  $\{f_n\}_{n \geq n(t)}$  of analytic functions  $f_n$  is uniformly bounded in  $\Delta(t)$ . Since  $\lim_n f_n(z) = f(z)$  uniformly on compact subsets of  $\Delta(t) \cap \mathbb{D}$  by Theorem 6.1, we see that  $\lim_n f_n(t) = f(t)$  uniformly on compact sub-arcs contained in the interior of  $\mathfrak{e}_k$ .

Let  $t \in \mathbb{D}$  be the interior point of the slit  $\mathfrak{l}_k$  and  $\Delta(t)$  be a small disc centered at  $t$  which does not contain the end-points of  $\mathfrak{l}_k$ . If one looks in the direction of the unit circle along  $\mathfrak{l}_k$  then the slit has a left side and a right side. By the Schwarz reflection principle every  $f_n$  extends through  $\mathfrak{l}_k^{(n)}$  in the direction from right to left and in the opposite direction via the formula

$$f_n(z) = \frac{1}{f_n(z^*)},$$

where  $z$  and  $z^*$  are symmetric with respect to the slit  $\mathfrak{l}_k^{(n)}$ . Using the same compactness arguments as in the case of  $\mathfrak{e}_k$ , we obtain that uniformly on  $\Delta(t)$  the left boundary values of  $f_n$  on  $\mathfrak{l}_k^{(n)}$  converge to the left boundary values of  $f$  on  $\mathfrak{l}_k$ . The same is true for the right boundary values.

It follows that the arcs  $l_k^{(n)} = f_n(l_k^{(n)})$  of  $\mathbb{T}$  approach the open arc  $l_k$ , whereas the open arcs  $e_k^{(n)} = f_n(\text{Int}(e_k^{(n)}))$  approach the interior of  $e_k$  on  $\mathbb{T}$ . Since

$$\mathbb{T} = \bigcup_{k=1}^{g+1} l_k \cup \bigcup_{k=1}^{g+1} e_k = \bigcup_{k=1}^{g+1} l_k^{(n)} \cup \bigcup_{k=1}^{g+1} e_k^{(n)}$$

we see that  $\lim_n |E \triangle E^{(n)}| = 0$ , where  $E^{(n)} = \bigcup_{k=1}^{g+1} e_k^{(n)}$ . Every compact  $E^{(n)}$  is rational since  $\lambda_k^n$  are the roots of the equation  $\zeta^{p_n} = 1$ . The compact is balanced since the  $p_n$  are chosen to be prime integers.  $\square$

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